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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

TECH CENTER 1600/2900

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/29/2001

REEL/FRAME: 011848/0529  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SHENOY, NARMADA

DOC DATE: 05/10/2001

ASSIGNOR:

SORASUCHART, WARANUSH

DOC DATE: 02/28/2001

ASSIGNOR:

KOPARKAR, ARUN

DOC DATE: 05/15/2001

ASSIGNEE:

SUGEN INC.  
230 EAST GRAND AVENUE  
SOUTH SAN FRANCISCO, CALIFORNIA

94080

SERIAL NUMBER: 09716332  
PATENT NUMBER:

FILING DATE: 11/21/2000  
ISSUE DATE:

011848/0529 PAGE 2

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

06-04-2001

Atty. Dkt. No. 038602-1060

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

ET



101740065

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copies thereof.

## 1. Name of conveying party(ies):

Narmada Shenoy (May 10, 2001)  
Waranush Sorasuchart (February 28, 2001)  
Arun Koparkar (May 15, 2001)

## 2. Name and address of receiving party(ies):

SUGEN Inc.  
230 East Grand Avenue  
South San Francisco, CA 94080

JUN 20 2003

TECH CENTER 1600/2900

Additional conveying party(ies) attached? NO

## 3. Nature of conveyance:

ASSIGNMENT

Execution Date:

May 10, 2001, February 28, 2001 and May 15, 2001

Additional name(s) &amp; address(es) attached? NO

## 4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

09/716,332

B. Patent Number(s):

Additional numbers attached? NO

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Beth A. Burrous  
FOLEY & LARDNER  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5109

## 6. Total number of applications/patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Check Enclosed

Charge to deposit account

8. Deposit account number: 19-0741

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## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

Beth A. Burrous

Name of person signing

Signature

May 29, 2001

Date

Total number of pages including cover sheet, attachments, and document: 4

**ASSIGNMENT AND AGREEMENT**

**WHEREAS**, Narmada Shenoy of 1520 Samedra Street, Sunnyvale, CA 94087, Waranush Sorasuchart of 19/251 Petchburi 19 Road, Rajtavi, Bangkok, Thailand 10400, and Arun Koparkar of 1296 Mountain Quail Circle, San Jose, CA 95120, (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled **FORMULATIONS FOR PHARMACEUTICAL AGENTS IONIZABLE AS FREE ACIDS OR FREE BASES** (Atty. Dkt. No. 038602/1060) for which an application for United States Letters Patent was filed on November 21, 2000 as Application No. 09/716,332; and

**WHEREAS**, **SUGEN Inc.**, a corporation duly organized and existing under the laws of the State of California, and having its principal place of business at 230 East Grand Avenue, South San Francisco, CA 94080 (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

**NOW THEREFORE**, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

**ASSIGNOR HEREBY AUTHORIZES AND REQUESTS** the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

**ASSIGNOR HEREBY AGREES** (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and

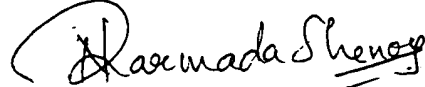
protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

**ASSIGNOR HEREBY REPRESENTS AND WARRANTS** that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

**ASSIGNOR HEREBY AUTHORIZES** the law firm of **Foley & Lardner** to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

**ASSIGNOR UNDERSTANDS AND AGREES** that the attorneys and agents of the law firm of **Foley & Lardner** do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 10th day of May, 2001.



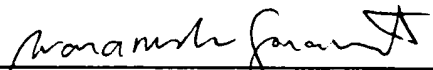
Narmada Shenoy



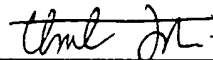
Witness

Date: 5/10/2001

Executed this 28<sup>th</sup> day of February, 2001.



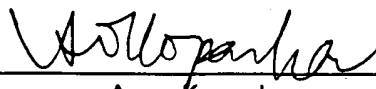
Waranush Borasuchart



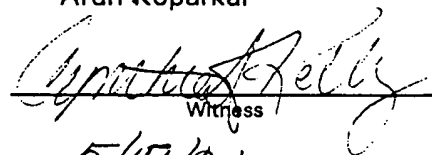
Witness

Date: 3/26/01

Executed this 15 day of May, 20 01



Arun Koparkar

  
Witness

Date: 5/19/01

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

7683 121



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Patent and Trademark Office  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 29, 1996

PENNIE & EDMONDS  
LAURA A. CORUZZI  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036

RECEIVED TO *Insigna*  
REC'D  
NOV 06 1996  
Pennie & Edmonds  
O.K. for filing



\*100258683A\*

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RECORDATION DATE: 08/07/1996

REEL/FRAME: 8089/0945  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TANG, PENG CHO

DOC DATE: 06/13/1996

ASSIGNOR:  
SUN, LI

DOC DATE: 06/13/1996

ASSIGNOR:  
MCMAHON, GERALD

DOC DATE: 06/18/1996

ASSIGNEE:  
SUGEN, INC.  
515 GALVESTON DRIVE  
REDWOOD CITY, CALIFORNIA 94063

SERIAL NUMBER: 08655223  
PATENT NUMBER:

FILING DATE: 06/05/1996  
ISSUE DATE:

8089/0945 PAGE 2

MAYA BENNETT, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



08-22-1996

Attorney Docket Number

7683-121-999



100258683

AND TRADEMARKS

Box Assignments  
Washington, DC 20231

MRD 8-7-96

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peng Cho TANG, Li SUN, and  
MCMAHONAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: 06/13/96, 06/13/96,  
06/18/96

2. Name and address of receiving party(ies):

Name: Sugan, Inc.

Address: 515 Galveston Drive

Redwood City, California 94063

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/655,223

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:PENNIE & EDMONDS  
1155 Avenue of the Americas  
New York, NY 100366. Number of applications  
and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00  
Please charge to the deposit account listed in Section 8.8. Deposit account number:  
16-1150

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Laura A. Coruzzi 30,742  
Name of Person Signing Reg. No.

Signature

Date

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Fee OK

1504032 08/20/96 08/25/96 16-1150 060 581 40.00CH  
EXPRESS MAIL CERTIFICATION"Express Mail" label No. TB 668 685 490 US Date of Deposit August 7, 1996  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

## ASSIGNMENT

WHEREAS, WE, Peng Cho TANG, Li SUN, Gerald McMAHON, ASSIGNORS, citizens of United States, China and United States, residing at 827 Camino Real, Moraga, California 94556, 64 Rockharbor Lane, Foster City, California 94404, and 1800 Schultz Road, Kenwood, California 95452, are the inventors in 3-Heteroaryl-2-Indolinone Compounds for the Treatment of Disease for which we have executed an application for a Patent of the United States

\_\_\_ which was executed on \_\_\_\_\_ even date herewith or \_\_\_\_\_

☒ which is identified by Pennie & Edmonds docket no. 7583-121-999

\_\_\_ which was filed on, June 5, 1996, Serial No. Unassigned

and WHEREAS, SUGEN, Inc., ASSIGNEE is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals, and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

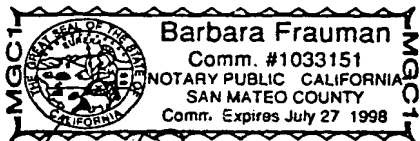
AND WE HEREBY further covenant that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 6/13, 1996 PENG CHO TANG L.S.

State of California )  
 ) SS:  
 County of San Mateo )

On June 13, 1996 before me the undersigned, a Notary Public for the State of California, personally appeared PENG CHO TANG, personally to me known (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

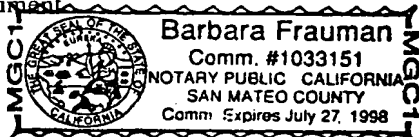


Barbara Frauman  
 Signature of Notary

Date 6/13, 1996 LI SUN L.S.

State of California )  
 ) SS:  
 County of San Mateo )

On June 13, 1996 before me the undersigned, a Notary Public for the State of California, personally appeared LI SUN, personally to me known (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to within the instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



Barbara Frauman  
 Signature of Notary

Date 6/18, 1996 GERALD McMAHON L.S.

State of California )  
 ) SS:  
 County of San Mateo )

On June 18, 1996 before me the undersigned, a Notary Public for the State of California, personally appeared GERALD McMAHON, personally to me known (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



Barbara Frauman  
 Signature of Notary